

# SUBRECIPIENT COMMITMENT FORM (for modifications)

Subagreement No.:

Subrecipient Legal Name:

Subrecipient's Address:

City:

State:

Zipcode:

Subrecipient's EIN No.:

Subrecipient's DUNS No.:

## SECTION A: Subagreement Support and Process Documents

The following documents are required to support and process the Subagreement modification:

- |  |  |
|--|--|
| <input type="checkbox"/> This Subrecipient Commitment Form (required)                            | <input type="checkbox"/> Insurance Certificates                                    |
| <input type="checkbox"/> Budget & Budget Justification   | <input type="checkbox"/> <a href="https://sam.gov">SAM.gov</a> Active Registration |
| <input type="checkbox"/> Contact Information Attachment (attached)                               | <input type="checkbox"/> Most recent Single Audit / Audited Financial Statements   |
| <input type="checkbox"/> Dun & Bradstreet <a href="https://dunandbradstreet.com">DUNS</a> Number | <input type="checkbox"/> Statement of Financial Interests Form (attached)          |
| <input type="checkbox"/> FFATA Attachment/Exhibit (attached)                                     | <input type="checkbox"/> Statement of Work   |
| <input type="checkbox"/> Animal subjects/IACUC Approval  | <input type="checkbox"/> Vendor Information Form (attached)                        |
| <input type="checkbox"/> Human Subjects/IRB Approval   | <input type="checkbox"/> W8-BEN-E (attached)                                       |

## SECTION B: Certifications and Audit Status

### 1. Debarment, Suspension, Proposed Debarment:

Is the PI or any other employee or student participating in this project debarred, suspended or otherwise excluded from or ineligible for participation in Federal assistance programs or activities?  No  Yes (attach explanation)

The Organization certifies they: (answer all questions below)

- Are  Are Not presently debarred, suspended, proposed for debarment, or declared ineligible for award of federal contracts
- Are  Are Not presently indicted for, or otherwise criminally or civilly charged by a governmental entity
- Have  Have Not within three (3) years preceding this offer, been convicted of or had a civil judgment rendered against them for commission of fraud or criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, state, and/or local) contract or subcontract; violation of federal or state antitrust statutes relating to the submission of offers; or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements or receiving stolen property
- Have  Have Not within three (3) years preceding this offer, had one or more contracts terminated for default by any federal agency

### 2. Single Audit Status:

Does the Subrecipient receive an annual audit in accordance with 2 CFR 200, Subpart F?

Yes -

Has the audit been completed for the most recent fiscal year?  Yes  No, it is expected to be completed by:

No -

mm/dd/yy

Does the Subrecipient receive overall federal funding of at least \$750,000 per year?  Yes  No

Subrecipient is:  For-Profit entity that expends Federal or Federal pass-thru funds and has a DCAA audited rate

For-Profit entity that does not expend Federal funds or have annual audits

Foreign entity

Were any audit findings reported?  No  Yes (attach explanation)

*A complete copy of Subrecipient's most recent report or the URL link must be furnished to SDSU Research Foundation before a subaward will be issued.*

URL:

Authorized Institutional Representative Signature

Date

Name and Title of Authorized Institutional Representative