

San Diego State University Research Foundation

Compliance Report
June 30, 2012

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**San Diego State University Research Foundation
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**Schedule of Expenditures of Federal Awards
Year Ended June 30, 2012**

Federal Grantor/Pass-Through Grantor Program or Cluster Title	Catalog of Federal Domestic Assistance Number	Pass-Through Entity Identifying Number	Federal Expenditures
Research and Development:			
U.S. Department of Agriculture:			
Direct Programs:			
Department of Agriculture Contracts/Letters of Agreement	10.000		\$ 12,062
ARRA - Recovery Act of 2009: Wildland Fire Management	10.688		160,315
			<u>172,377</u>
Pass-through Emory University			
Grants for Agricultural Research Competitive Research	10.206	5-23590-G2	21,029
Pass-through Public Health Institute			
State Administrative Matching Grants for the Supplemental Nutrition Assistance Program	10.561	AGREEMENT NO. 1016626	26,175
Pass-through Oregon State University			
Cooperative Forestry Assistance	10.664	DA510B-B	62,864
Total U.S. Department of Agriculture			<u>282,445</u>
U.S. Department of Commerce:			
Direct Programs:			
Department of Commerce Contracts/Letters of Agreement	11.000		138,173
Geodetic Surveys and Services (Geodesy and Applications of the National Geodetic Reference System)	11.400		95,632
Coastal Zone Management Administration Awards	11.419		11,178
Coastal Zone Management Estuarine Research Reserves	11.420		16,857
Measurement and Engineering Research and Standards	11.609		39,808
			<u>301,648</u>
Pass-through California Sea Grant College Program			
Sea Grant Support	11.417	E/PD-4/NA08OAR4170855/10288708	15,213
Sea Grant Support	11.417	NA10OAR4170060/R/CONT-205	24,185
Sea Grant Support	11.417	R/ENV-216EPD/PO10309608	12,955
Sea Grant Support	11.417	R/MPA-25A 10-049 PO10320497	29,496
Pass-through Oregon State University			
Environmental Research Laboratories Cooperative Institutes	11.432	NA244B-A	5,690
Total U.S. Department of Commerce			<u>389,187</u>
U.S. Department of Education			
Direct Programs:			
Joint US/EU Consortia for Cooperation in Higher Education and Vocational Education	84.116J		43,541
Combined Priority for Personnel Preparation	84.325K		522,929
			<u>566,470</u>
Pass-through American Samoa Government Department of Education			
Rehabilitation Services Vocational Rehabilitation Grants to States	84.126	57031	13,555
Pass-through San Diego Unified School District			
ARRA - Special Education Grants to States, Recovery Act	84.391	SU-11-0430-10	5,791
Total U.S. Department of Education			<u>585,816</u>
U.S. Department of Defense:			
Direct Programs:			
Department of Defense Contracts/Letters of Agreement	12.000		1,917,092
Collaborative Research and Development	12.114		56,021
Basic and Applied Scientific Research	12.300		4,594,881
Basic Scientific Research	12.431		90,541
Air Force Defense Research Sciences Program	12.800		73,678
			<u>6,732,213</u>

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Pass-through AECOM Technology Corporation			
Department of Defense Contracts/Letters of Agreement	12.000	07080423.87	\$ 111,184
Pass-through Computer Sciences Corporation			
Department of Defense Contracts/Letters of Agreement	12.000	PO P43006	739
Pass-through EDAW			
Department of Defense Contracts/Letters of Agreement	12.000	09080431.05	8,860
Pass-through General Atomics Corporation			
Department of Defense Contracts/Letters of Agreement	12.000	PO 4500037370	691
Pass-through NextGen Aeronautics			
Department of Defense Contracts/Letters of Agreement	12.000	PO 11-05 SALES ORDER 3053	98,201
Department of Defense Contracts/Letters of Agreement	12.000	PO 11-05 SALES ORDER 3061	6,022
Pass-through Space Micro Inc.			
Department of Defense Contracts/Letters of Agreement	12.000	P.O. NO. 2557	
Pass-through University of California at San Diego			
Basic and Applied Scientific Research	12.300	62931-9648	6,375
Pass-through Scripps Institution of Oceanography			
Basic and Applied Scientific Research	12.300	PO 10319241-SUB	51,086
Pass-through University of California at San Diego			
Military Medical Research and Development	12.420	PO 10312496-SUB	101,631
Pass-through University of Southern California			
Invitational Grants for Military-Connected Schools	12.557	157572	27,112
Invitational Grants for Military-Connected Schools	12.557	162253	20,666
Pass-through Enabling Energy Systems Inc.			
Air Force Defense Research Sciences Program	12.800	AGREEMENT DATED 6/5/12	678
Pass-through TrellisWare Inc.			
Air Force Defense Research Sciences Program	12.800	SC110401	27,276
Total U.S. Department of Defense			<u>7,192,734</u>
U.S. Department of Energy:			
Direct Programs:			
Department of Energy Contracts/Letters of Agreement	81.000		109,818
Office of Science Financial Assistance Program	81.049		263,677
Conservation Research and Development	81.086		98,619
Energy Efficiency and Renewable Energy Information			
Dissemination Outreach Training and Technical Analysis/ Assistance	81.117		71,830
ARRA - Geologic Sequestration Training and Research Grant Program	81.133		<u>170,625</u>
			714,569
Pass-through University of California at Berkeley			
Department of Energy Contracts/Letters of Agreement	81.000	00007652	59,896
Renewable Energy Research and Development	81.087	00007105 - PO 2000020146	80,348
Nuclear Energy Research, Development and Demonstration	81.121	00007558	29,380
Pass-through University of California at San Diego			
Energy Related Inventions	81.036	PO 10314194	35,484
Energy Related Inventions	81.036	42350132	38,888
Pass-through University of Utah			
Office of Science Financial Assistance Program	81.049	10019040-S1	28,725
Pass-through Northern Arizona University			
Office of Science Financial Assistance Program	81.049	SUB AWARD MPC-35UV01	1,341
Pass-through University of Minnesota			
ARRA - Electricity Delivery and Energy Reliability, Research, Development and Analysis (B)	81.122	A000211538	<u>7,496</u>
Total U.S. Department of Energy			<u>996,127</u>

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U.S. Department of Interior:			
Direct Programs:			
Department of Interior Contracts/Letters of Agreement	15.000		\$ 863
Migratory Bird Joint Ventures (B,C)	15.637		6,540
Challenge Cost Share (B)	15.642		7,586
Earthquake Hazards Reduction Program	15.807		<u>162,356</u>
			177,345
Pass-through San Diego State University			
Department of Interior Contracts/Letters of Agreement	15.000	PO 40070415	3,728
Pass-through American Samoa Department of Commerce			
Department of Interior Contracts/Letters of Agreement	15.000	AGREEMENT DATED 11/30/11	20,744
Pass-through California Department of Fish and Game			
Department of Interior Contracts/Letters of Agreement	15.000	P1082033	27,899
Pass-through Spectral Labs Inc.			
Department of Interior Contracts/Letters of Agreement	15.000	AGREEMENT DATED 12/16/11	23,388
Pass-through Virginia Polytechnic Institute and State University			
Department of Interior Contracts/Letters of Agreement	15.000	432844-19863	9,434
Pass-through California Department of Fish and Game			
Wildlife Restoration	15.611	PO75009	4,044
Pass-through University of Southern California			
Earthquake Hazards Reduction Program	15.807	PO 117473	32,867
Earthquake Hazards Reduction Program	15.807	PO 117473 CHANGE ORDER 5	21,629
US Geological Survey Research and Data Acquisition	15.808	Y82316	<u>1,583</u>
Total U.S. Department of Interior			<u>322,661</u>
U.S. Department of Justice:			
Direct Programs:			
National Institute of Justice Research Evaluation and Development Project Grants	16.560		<u>182,124</u>
Total U.S. Department of Justice			<u>182,124</u>
Environmental Protection Agency:			
Direct Programs:			
Congressionally Mandated Projects	66.202		146,145
Pass-through University of Texas El Paso			
Congressionally Mandated Projects	66.202	26-3008-22-63	<u>102,515</u>
Total Environmental Protection Agency			<u>248,660</u>
U.S. Department of Health and Human Services (DHHS):			
Direct Programs:			
Department of Health and Human Services Contracts/ Letters of Agreement	93.000		1,655,926
Pass-through Regents of the University of California			
Department of Health and Human Services Contracts/ Letters of Agreement	93.000	Purchase Order 10288230	<u>873,368</u>
Total DHHS			<u>2,529,294</u>
Administration for Children and Families (ACF):			
Direct Programs:			
Head Start	93.600		33,523
Child Abuse and Neglect Discretionary Activities	93.670		<u>29,923</u>
			63,446
Pass-through Imperial Valley Regional Occupational Program			
Child Abuse and Neglect Discretionary Activities	93.670	MOU DATED 5/26/11	<u>7,347</u>
Total ACF			<u>70,793</u>
Administration on Aging:			
Pass-through California State Independent Living Council			
Affordable Care Act-Aging and Disability Resource Center	93.517	10-ILC-008 INDEX CODE 0100	<u>27,784</u>
Total Administration on Aging			<u>27,784</u>

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Center for Disease Control (CDC):			
Direct Programs:			
Innovations in Applied Public Health Research (B)	93.061		\$ 412,124
Centers for Research and Demonstration for Health Affordable Care Act (ACA) Childhood Obesity Research Demonstration	93.135		411,569
Health Promotion and Disease Prevention Research Centers: Affordable Care Act Projects	93.535		429,661
	93.542		<u>553,691</u>
			1,807,045
Pass-through Family Health Centers of San Diego Acquired Immunodeficiency Syndrome Activity	93.118	SUBCONTRACT DATED 2/11/2010	1,558
HIV Prevention Activities Non Governmental	93.939	AGREEMENT DATED 12/22/11	7,096
Pass-through Association of Schools of Public Health Centers for Disease Control and Prevention Total CDC	93.283	S3902-27/27	<u>502</u>
			<u>1,816,201</u>
National Institute on Deafness and Other Communication Disorders:			
Direct Programs:			
Research Related to Deafness and Communication Disorders	93.173		1,852,348
Pass-through House Ear Institute Research Related to Deafness and Communication Disorders	93.173	RFA-DC-08-001	165,818
Pass-through Ohio University Research Related to Deafness and Communication Disorders	93.173	UT16312	206,355
Pass-through University of California at Irvine Research Related to Deafness and Communication Disorders	93.173	2011-2563	93,440
Total National Institute on Deafness and other Communication Disorders			<u>2,317,961</u>
National Institutes of Health (NIH):			
Direct Programs:			
Mental Health Research Grants	93.242		2,212,731
Alcohol National Research Service Awards for Research Training	93.272		272,745
Alcohol Research Programs	93.273		2,538,304
Drug Abuse and Addiction Research Programs	93.279		28,665
Mental Health Research Career/Scientist Development Awards	93.281		263,982
Mental Health National Research Awards	93.282		28,947
Discovery and Applied Research for Technological Innovations to Improve Human Health	93.286		50,438
Minority Health and Health Disparities Research	93.307		1,967,307
Trans NIH Research Support	93.310		322
National Center for Research Resources	93.389		158,068
Cancer Detection and Diagnosis Research	93.394		2,901,847
Cancer Treatment Research	93.395		248,490
Cancer Centers Support Grants	93.397		1,635,214
Cancer Control	93.399		45
ARRA - Trans-NIH Recovery Act Research Support	93.701		2,133,375
Cardiovascular Diseases Research	93.837		5,803,823
Arthritis, Musculoskeletal and Skin Diseases Research	93.846		17,330

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Diabetes, Digestive, and Kidney Diseases Extramural Research	93.847		\$ 589,528
Extramural Research Programs in the			
Neurosciences & Neurological Disorders	93.853		539,373
Allergy Immunology and Transplantation Research	93.855		255,422
Biomedical Research and Research Training	93.859		2,293,642
Child Health and Human Development			
Extramural Research	93.865		1,075,248
Aging Research	93.866		1,515,695
Vision Research	93.867		73,491
International Research and Research Training	93.989		35,293
			<u>26,639,325</u>
Pass-through Creighton University			
Oral Diseases and Disorders Research	93.121	270686-1	73,012
Pass-through University of California at San Francisco			
Oral Diseases and Disorders Research	93.121	5379SC,A1-4 PU54DE019285-05 R	10,604
Pass-through Rady Children's Hospital San Diego			
Mental Health Research Grants	93.242	662-94193560	158,820
Pass-through University of Pittsburgh			
Mental Health Research Grants	93.242	005385/115230-1	12,333
Kidney Diseases, Urology and Hematology Research	93.849	PAR-03-045	39,636
Pass-through Veterans Medical Research Foundation			
Mental Health Research Grants	93.242	285710, 289196, 298052, 303288	10,994
Pass-through Children's Hospital Los Angeles			
Alcohol Research Programs	93.273	8029-RGF007185-00	36,261
Pass-through University of California at Los Angeles			
Alcohol Research Programs	93.273	SUBAWARD 1581 G JB281	12,559
Pass-through University of Utah			
Trans NIH Research Support	93.310	10011765-02	1,546
Pass-through Scripps Research Institute			
National Center for Research Resources	93.389	5-22746, 23174, 23269, 23371	29,744
Pass-through Klein Buendel Inc.			
Cancer Cause and Prevention Research	93.393	0264-0132-000	23,406
Cancer Cause and Prevention Research	93.393	0268-0139-001	67,844
Pass-through University of Texas San Antonio			
Cancer Centers Support Grants	93.397	151333/151331	98,778
Pass-through Hospital for Special Surgery			
ARRA - Trans-NIH Recovery Act Research Support	93.701	1RC4AR060546-01	127,574
Pass-through Scripps Research Institute			
ARRA - Trans-NIH Recovery Act Research Support	93.701	3UL1RR025774-02S2	40,047
Pass-through University of Maryland			
Drug Abuse and Addiction Research Programs	93.279	SR00002141	7,741
ARRA - Trans-NIH Recovery Act Research Support	93.701	SR00001149	21,323
Pass-through Washington University in St. Louis			
ARRA - Trans-NIH Recovery Act Research Support	93.701	WU-10-209	423
Pass-through RAND Corporation			
Cardiovascular Diseases Research	93.837	AWARD 9920080001, AMEND 3	39,986
Pass-through University of North Carolina-Chapel Hill			
Cardiovascular Diseases Research	93.837	5-31272, 1R01HL102130-01A2	432,904
Pass-through Kaiser Permanente Medical Center			
Diabetes, Digestive, and Kidney Diseases Extramural Research	93.847	11KCOLE-01-SDSU	30,944
Pass-through University of California at San Diego			
Mental Health Research Grants	93.242	PO 10320188-SUB	32,572
National Center for Advancing Translational Sciences	93.350	PO 10310850-002	1,683
National Center for Research Resources	93.389	PO 10310850-001 SUB	50,625
Cancer Cause and Prevention Research	93.393	PO 10324059	14,238
Cancer Cause and Prevention Research	93.393	31070773	20,454
Cancer Detection and Diagnosis Research	93.394	PO 10311554 SUB	12,145

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Cancer Research Manpower	93.398	PO 10302056	\$ 62,860
Cardiovascular Diseases Research	93.837	PO 10322628	51,960
Cardiovascular Diseases Research	93.837	PO 10322628-SUB	1,712
Cardiovascular Diseases Research	93.837	PO 10290654	17,634
Cardiovascular Diseases Research	93.837	PO 10302054	20,669
Cardiovascular Diseases Research	93.837	PO 10310190-002	30,168
Cardiovascular Diseases Research	93.837	PO 10313122-001	86,982
Cardiovascular Diseases Research	93.837	PO 10321287-001	31,157
Cardiovascular Diseases Research	93.837	PO 10322441-001	39,434
Extramural Research Programs in the Neurosciences & Neurological Disorders	93.853	PO 10290841	177,849
Allergy Immunology and Transplantation Research	93.855	PO 10303640-SUB	10,035
Allergy Immunology and Transplantation Research	93.855	PO 10318034	180,745
Allergy Immunology and Transplantation Research	93.855	PO 10279542	21,304
Child Health and Human Development Extramural Research	93.865	PO 10314027-SUB	11,503
Pass-through Johns Hopkins University Allergy Immunology and Transplantation Research	93.855	AGREEMENT DATED 11/11/11	14,755
Pass-through Lucigen Corporation Biomedical Research and Research Training	93.859	AGREEMENT DATED 4/19/10	221,178
Pass-through University of Illinois Biomedical Research and Research Training	93.859	2011-02153-02	2,330
Pass-through University of Wisconsin-Madison Population Research	93.864	017K673	31,299
Pass-through Tulane University Child Health and Human Development Extramural Research	93.865	TUL-HSC-158-10/11	1,354
Child Health and Human Development Extramural Research	93.865	TUL-HSC-272-11/12	8,097
Pass-through University of Washington Child Health and Human Development Extramural Research	93.865	736856	13,295
Total NIH			<u>29,083,841</u>
Indian Health Service			
Pass-through Indian Health Council Epidemiology Cooperative Agreements	93.231	AGREEMENT DATED 3/14/11	2,995
Epidemiology Cooperative Agreements	93.231	AGREEMENT DATED 9/24/09	59,017
Research and Demonstration Projects for Indian Health	93.933	AGREEMENT DATED 11/30/10	24,341
Research and Demonstration Projects for Indian Health	93.933	AGREEMENT DATED 10/18/11	72,627
Total Indian Health Service			<u>158,980</u>
Office of Population Affairs			
Pass-through Family Health Centers of San Diego Family Planning Service Delivery Improvement Research	93.974	FPRPA006042-02-01	20,404
Total Office of Population Affairs			<u>20,404</u>
Office of the Secretary			
Pass-through Association of American Indian Physicians ARRA-Prevention and Wellness-Leveraging National Organizations	93.731	2010 ALR CONTRACT	9,098
Total Office of the Secretary			<u>9,098</u>
Total U.S. Department of Health and Human Services			<u>36,034,356</u>
U.S. Department of Homeland Security			
Pass-through Johns Hopkins University			

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Department of Homeland Security Contracts/Letters of Agreement	97.000	966713	\$ (144)
Pass-through University of Arizona Centers for Homeland Security (B)	97.061	PO Y50264/2008-ST-061-BS0002	74,024
Pass-through University of Maryland Centers for Homeland Security (B)	97.061	Z930103	1,043
Total U.S. Department of Homeland Security			<u>74,923</u>
National Aeronautics & Space Administration:			
Direct Programs:			
National Aeronautics and Space Administration Contracts/ Letters of Agreement	43.000		419,768
Aerospace Education Services Program	43.001		290,176
ARRA - Aerospace Education Services Program	43.001		176,478
			<u>886,422</u>
Pass-through California Space Grant Consortium			
National Aeronautics and Space Administration Contracts/ Letters of Agreement	43.000	P1274778	4,000
National Aeronautics and Space Administration Contracts/ Letters of Agreement	43.000	P1277897	2,048
National Aeronautics and Space Administration Contracts/ Letters of Agreement	43.000	P1353903	2,000
Pass-through Space Telescope Science Institute			
National Aeronautics and Space Administration Contracts/ Letters of Agreement	43.000	HST-AR-12139.02-A	4,009
National Aeronautics and Space Administration Contracts/ Letters of Agreement	43.000	HST-GO-12170.01-A	3,195
National Aeronautics and Space Administration Contracts/ Letters of Agreement	43.000	HST-GO-12282.01-A	13,793
National Aeronautics and Space Administration Contracts/ Letters of Agreement	43.000	HST-GO-12574.01-A	6,840
Total National Aeronautics & Space Administration			<u>922,307</u>
National Science Foundation:			
Direct Programs:			
Engineering Grants	47.041		466,345
Mathematical and Physical Sciences	47.049		864,172
Geosciences	47.050		991,723
Computer and Information Science and Engineering	47.070		547,464
Biological Sciences	47.074		1,811,059
Social Behavioral and Economic Sciences	47.075		132,085
Education and Human Resources	47.076		2,418,250
Polar Programs	47.078		117,738
International Science and Engineering (OISE) (B)	47.079		4,174
Office of Cyberinfrastructure	47.080		55,843
ARRA - Trans-NSF Recovery Act Research Support	47.082		788,849
			<u>8,197,702</u>
Pass-through Arizona State University			
Engineering Grants	47.041	10-403	6,988
Pass-through Kent BioEnergy Corporation			
Engineering Grants	47.041	LTR DTD 8/24/10	16,709
Pass-through the University of California at San Diego			
Engineering Grants	47.041	PO 10302050	70,633
Biological Sciences	47.074	PO 10301407-001 / 002	108,939
Education and Human Resources	47.076	PO 10282422	13,802
Pass-through the University of Washington			

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Engineering Grants	47.041	NSF-EEC-1028725 UW-724647	\$ 269,561
Pass-through Pennsylvania State University			
Geosciences	47.050	4537150	28,292
Pass-through Purdue University			
Geosciences	47.050	4101-32545	106,216
Pass-through University of California at Riverside			
Geosciences	47.050	S-000516	14,489
Pass-through the University of Southern California			
Geosciences	47.050	149119	102,968
Geosciences	47.050	PO 119513	107,670
ARRA - Trans-NSF Recovery Act Research Support	47.082	PO 137257	48,432
Pass-through City University of New York - Hunter College			
Social Behavioral and Economic Sciences	47.075	40B13-C	40,720
Pass-through Gallaudet University			
Social Behavioral and Economic Sciences	47.075	SUBAGREEMENT DATED 1/23/09	69,201
Pass-through Arizona Board of Regents			
Education and Human Resources	47.076	SUBAWARD 10-342	7,641
Pass-through Mathematical Association of America			
Education and Human Resources	47.076	MOU DATED 5/5/10	58,913
Pass-through Science Museum of Minnesota			
Education and Human Resources	47.076	AGREEMENT DATED 10/15/09	51,951
Pass-through SRI International			
Education and Human Resources	47.076	43-000201	42,950
Pass-through Texas A & M University Research Foundation			
Education and Human Resources	47.076	A2675	34,830
Pass-through University of California at Santa Cruz			
Education and Human Resources	47.076	SUBAWARD S0182330 AMEND 3	116,969
Pass-through University of Georgia			
Education and Human Resources	47.076	DRL-0903411-001	25,201
Pass-through University of Michigan			
International Science and Engineering (OISE) (B)	47.079	SUBAWARD 3000806719	101,737
Pass-through Indiana University			
Office of Cyberinfrastructure	47.080	350055 OF OCI-0721652	1,294
Total National Science Foundation			<u>9,643,808</u>
U.S. Department of Labor			
Direct Programs:			
H-1B Job Training Grants	17.268		3,750
Total U.S. Department of Labor			<u>3,750</u>
Small Business Administration			
Direct Programs:			
7(j) Technical Assistance	59.007		2,093
Total Small Business Administration			<u>2,093</u>
Total Research and Development Cluster			* <u>\$ 56,880,991</u>
Other Federal Awards:			
U.S. Department of Agriculture:			
Direct Programs:			
Department of Agriculture Contracts/Letters of Agreement	10.000		\$ 13,642
Pass-through California Department of Education			
Summer Food Service Program for Children	10.559	37-7762-7V	12,234
Pass-through California Department of Public Health			
Special Supplemental Nutrition Program for Women, Infants and Children	10.557	08-85465	1,859,620
Special Supplemental Nutrition Program for Women, Infants and Children	10.557	11-10490 001	4,312,238

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<u>Federal Grantor/Pass-Through Grantor Program or Cluster Title</u>	<u>Catalog of Federal Domestic Assistance Number</u>	<u>Pass-Through Entity Identifying Number</u>	<u>Federal Expenditures</u>
Pass-through North County Community Services			
Child Nutrition Discretionary Grants Limited Availability (B)	10.579	AGREEMENT DATED 4/22/11	\$ 43,500
Total U.S. Department of Agriculture			<u>6,241,234</u>
U.S. Department of Commerce:			
Direct Programs:			
Public Telecommunications Facilities Planning and Construction	11.550		101,037
Total U.S. Department of Commerce			<u>101,037</u>
U.S. Department of Education:			
Direct Programs:			
National Resource Centers for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship	84.015A		26,498
Undergraduate International Studies and Foreign Language Program	84.016A		23,399
TRIO Student Support Services	84.042A		261,628
TRIO Talent Search	84.044		281,239
TRIO Upward Bound Program	84.047A		676,000
Fund for the Improvement of Postsecondary Education	84.116		785,624
Rehabilitation Long Term Training Vocational Rehabilitation Counseling	84.129B		156,099
Rehabilitation Administration	84.129C		107,179
Rehabilitation Technology	84.129E		98,816
Rehabilitation of Individuals Who Are Mentally Ill	84.129H		108,157
Rehabilitation of Individuals Who are Deaf or Hard of Hearing	84.129Q		(400)
Job Development and Job Placement Services to Individuals with Disabilities	84.129R		105,610
Rehabilitation Long Term Training Comprehensive System of Personnel Development	84.129W		214,869
English Language Acquisition: National Professional Development Program	84.195N		671,028
Ronald E McNair Postbaccalaureate Achievement Program	84.217A		246,754
Centers for International Business Education Program	84.220A		200,227
Language Resource Centers Program	84.229A		233,593
Rehabilitation Services Demonstration and Training Programs	84.235		1,747
Rehabilitation Short Term Training	84.246		277,371
Rehabilitation Training Continuing Education	84.264		905,877
Capacity Building for Traditionally Underserved	84.315		251,856
Special Education Personnel Preparation to Improve Services and Results for Children with Disabilities	84.325		377,988
Combined Priority for Personnel Preparation	84.325K		744,366
Demonstration Projects to Ensure Students with Disabilities Receive a Higher Education	84.333A		136,297
Gaining Early Awareness and Readiness for Undergraduate Programs GEAR UP	84.334A		1,169,053
English Language Acquisition Grants (A,B)	84.365		<u>77,103</u>
			<u>8,137,978</u>
Pass-through Twin Rivers Unified School District			
Department of Education Contracts/Letters of Agreement	84.000	AGREEMENT DATED 5/23/12	7,585
Pass-through Commonwealth of the Northern Marianas Islands			
Rehabilitation Services Vocational Rehabilitation Grants to States	84.126	523525-OM	5,131
Pass-through University of California at Irvine			
Drug Free Schools and Communities National Programs	84.184	2011-2554	3,874
Pass-through California Department of Education			

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<u>Federal Grantor/Pass-Through Grantor Program or Cluster Title</u>	<u>Catalog of Federal Domestic Assistance Number</u>	<u>Pass-Through Entity Identifying Number</u>	<u>Federal Expenditures</u>
Special Education Grants to States	84.027	10-14301-X842-00	\$ 245,186
Even Start State Educational Agencies	84.213	11-14331-X842-00 AMEND 1	81,573
Even Start State Educational Agencies	84.213	10-14331-X842-00	12,007
Even Start State Educational Agencies	84.213	10-14381-X842-00	12,683
Even Start State Educational Agencies	84.213	11-14381-X842-00	110,027
Twenty First Century Community Learning Centers	84.287	10-14350-X842-01	424
Twenty First Century Community Learning Centers	84.287	10-14535-X842-4A	74,147
Twenty First Century Community Learning Centers	84.287	10-14603-X842-4A	24,578
Twenty First Century Community Learning Centers	84.287	10-14604-X842-4A	4,121
Twenty First Century Community Learning Centers	84.287	11-14535-X842-4A	207,744
Twenty First Century Community Learning Centers	84.287	11-14603-X842-4A	21,266
Twenty First Century Community Learning Centers	84.287	11-14604-X842-4A	15,615
Pass-through American Samoa Government Department of Education			
Department of Education Contracts/Letters of Agreement	84.000	C56918	220,486
Vocational Rehabilitation State Grants	84.126A	57202	3,635
Freely Associated States Educational Grant Program	84.256A	57201	14,203
Rehabilitation Training State Vocational Rehabilitation	84.265	57103	7,980
ARRA - Consolidated Grants to the Outlying Areas	84.402	C56925	216,199
Pass-through Hawaii Vocational Rehabilitation & Services for the Blind			
Vocational Rehabilitation State Grants	84.126A	DHS-12-VR-633	24,030
Vocational Rehabilitation State Grants	84.126A	DHS-12-VR-634	12,650
Vocational Rehabilitation State Grants	84.126A	DHS-12-VR-635	17,908
Pass-through Consortia of Administrators for Native American Rehab Inc.			
Capacity Building For Traditionally Underserved Populations, Recovery Act	84.406	AGREEMENT DATED 10/15/10	63,873
Pass-through Lake Elsinore Unified School District			
Mathematics and Science Partnerships (B)	84.366	AGREEMENT DATED 5/19/10	167,297
Pass-through Seminole County Public Schools			
Title I Grants to Local Educational Agencies	84.010	2010-2011 NCUST/SEMINOLE COUNT	846
Title I Grants to Local Educational Agencies	84.010	2011-2012 NCUST/SEMINOLE AGMT	179,862
Pass-through Springdale School District			
English Language Acquisition Grants	84.365	SUBAGREEMENT DATED 12/2/11	12,868
Pass-through University of California San Diego			
Drug and Violence Prevention Programs in Higher Education Validation Completion	84.184H	PO 10307853	14,480
Drug and Violence Prevention Programs in Higher Education Validation Completion	84.184H	PO 10322305	4,000
Mathematics and Science Partnerships (B)	84.366	LETTER DATED 12/14/11	122,523
Mathematics and Science Partnerships (B)	84.366	P1275201	24,561
Pass-through Federated States of Micronesia			
Special Education Grants to States	84.027	2010 FSM RFP PRIORITY #4A	(484)
Special Education Grants to States	84.027	CH0295	100,855
Pass-through Imperial Valley College			
Higher Education Institutional Aid	84.031	AGREEMENT DATED 3/3/11	32,859
Pass-through Wahupa Educational Services Inc.			
TRIO Educational Opportunity Centers	84.066	AGREEMENT DATED 8/31/09	11,556
Pass-through Troy University			
Joint US/EU Consortia for Cooperation in Higher Education and Vocational Education	84.116J	29/05/2007 EU-US MOU	3,496
Pass-through State of Hawaii			
Rehabilitation Services-Vocational Rehabilitation Grants to States, Recovery Act	84.390	DHS-11-VR-395	(448)
Pass-through California Department of Development Services			
Special Education Grants for Infants and Families with Disabilities	84.181	AGREEMENT HD099046	154,413
Pass-through Sweetwater Union High School District			
Twenty First Century Community Learning Centers Gaining Early Awareness and Readiness for Undergraduate	84.287	AGREEMENT DATED 1/25/11	7,349

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<u>Federal Grantor/Pass-Through Grantor Program or Cluster Title</u>	<u>Catalog of Federal Domestic Assistance Number</u>	<u>Pass-Through Entity Identifying Number</u>	<u>Federal Expenditures</u>
Programs	84.334	P334A050319-01	\$ 43,860
Pass-through Imperial County Office of Education			
Migrant Education Basic State Grant Program	84.011	AGREEMENT DATED 2/18/11	86,959
Migrant Education Basic State Grant Program	84.011	AGREEMENT DATED 5/2/12	5,851
Migrant Education Basic State Grant Program	84.011	AGREEMENT DATED 4/23/12	33,127
Pass-through San Diego State University			
Special Education Grants to States	84.027	40080539	42,565
Rehabilitation Long Term Training	84.129	PO 40054918	96,260
Pass-through University of California Office of the President			
Improving Teacher Quality State Grants	84.367	09CSMP-CMP-SAN DIEGO AMD1	10,651
Improving Teacher Quality State Grants	84.367	1010GHC102	24,463
Improving Teacher Quality State Grants	84.367	11CSMP-CMP-SAN DIEGO	10,899
Improving Teacher Quality State Grants	84.367	NCLB7-CFLP-SAN DIEGO	806
Improving Teacher Quality State Grants	84.367	NCLB7-CISP-SAN DIEGO	605
Improving Teacher Quality State Grants	84.367	NCLB7-CMP-IMPERIAL VALLEY	9,642
Improving Teacher Quality State Grants	84.367	NCLB7-CMP-SAN DIEGO	1,913
Improving Teacher Quality State Grants	84.367	NCLB7-TCAP-SAN DIEGO	8,981
Improving Teacher Quality State Grants	84.367	NCLB8A-TCAP-SAN DIEGO	12,306
Improving Teacher Quality State Grants	84.367	NCLB8-CFLP-SAN DIEGO	37,285
Improving Teacher Quality State Grants	84.367	NCLB8-CISP-SAN DIEGO	36,341
Improving Teacher Quality State Grants	84.367	NCLB8-CMP-IMPERIAL VALLEY	23,474
Improving Teacher Quality State Grants	84.367	NCLB8-CMP-SAN DIEGO	23,656
Improving Teacher Quality State Grants	84.367	NCLB8-TCAP-SAN DIEGO	76,714
Pass-through Normandy School District			
School Improvement Grants	84.377	NCUST 11-12	187,691
Pass-through California Postsecondary Education Commission			
Improving Teacher Quality State Grants	84.367	ITQ-01-250	57,067
Pass-through Mountain View School District - Ontario CA			
Title I Grants to Local Educational Agencies	84.010	CONTRACT DATED 8/23/11	8,500
Pass-through Phoenix Elementary School District #1			
Title I Grants to Local Educational Agencies	84.010	AGREEMENT DATED 4/7/11	83,601
Title I Grants to Local Educational Agencies	84.010	PO 1001529-1	61
Pass-through West Contra Costa Unified School District			
Title I Grants to Local Educational Agencies	84.010	C1230260	3,815
Pass-through California Department of Rehabilitation			
ARRA - Rehabilitation Services-Vocational Rehabilitation Grants to States, Recovery Act	84.390	27901A	444,353
Pass-through Ohio State University			
ARRA - Investing in Innovation (i3) Fund	84.411	60033311 (PO RF01265016)	171,109
Total U.S. Department of Education			<u>11,923,491</u>
U.S. Department of Defense:			
Direct Programs:			
Department of Defense Contracts/Letters of Agreement	12.000		993,109
Basic and Applied Scientific Research	12.300		878
Language Grant Program	12.900		284,935
			<u>1,278,922</u>
Pass-through Apex Contracting and Consulting			
Department of Defense Contracts/Letters of Agreement	12.000	032812	9,951
Pass-through California State University Long Beach			
Department of Defense Contracts/Letters of Agreement	12.000	07378111	13,520
Pass-through California State University San Francisco			
Department of Defense Contracts/Letters of Agreement	12.000	S10-0038	33,030
Pass-through Science Applications International Corporation			
Department of Defense Contracts/Letters of Agreement	12.000	P010094441	31,450
Pass-through The Institute of International Education			

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<u>Federal Grantor/Pass-Through Grantor Program or Cluster Title</u>	<u>Catalog of Federal Domestic Assistance Number</u>	<u>Pass-Through Entity Identifying Number</u>	<u>Federal Expenditures</u>
ROTC Language and Culture Training Grants	12.357	2011 ROTC U634009-SDSU	\$ 212,130
Language Training Center	12.579	2011-LTC-U634009-SDSU	1,279,648
Pass-through South Carolina Research Authority			
Basic and Applied Scientific Research	12.300	2009-529	42,418
Basic Applied and Advanced Research in Science and Engineering	12.630	SUBCONTRACT 2010-502	254,734
Pass-through University of Southern California			
Competitive Grants: Promoting K-12 Student Achievement at Military-Connected Schools	12.556	157566	28,955
Total U.S. Department of Defense			<u>3,438,300</u>
U.S. Department of Interior:			
Direct Programs:			
US Geological Survey Research and Data Acquisition	15.808		1,042,468
Pass-through Little Italy Association			
Department of Interior Contracts/Letters of Agreement	15.000	AGREEMENT DATED 2/10/11	12,279
Historic Preservation Fund Grants In Aid	15.904	C8952516	9,599
Pass-through California Office of Historic Preservation			
Historic Preservation Fund Grants In Aid	15.904	A1110007	777
Historic Preservation Fund Grants In Aid	15.904	C8953537	9,600
Total U.S. Department of Interior			<u>1,074,723</u>
U.S. Department of State			
Pass-through Institute of International Education			
Educational Exchange-Graduate Students (B)	19.400	AGREEMENT DATED 6/7/10	24,975
Educational Exchange-Graduate Students (B)	19.400	AGREEMENT DATED 6/9/11	133,442
Total U.S. Department of State			<u>158,417</u>
U.S. Department of Justice:			
Direct Programs:			
ARRA - Recovery Act-Voca Crime Victim Assistance Discretionary Grant Program	16.807		167,019
Pass-through Union of Pan Asian Communities			
Department of Justice Contracts/Letters of Agreement	16.000	MOU DATED 1/13/11	9,490
Total U.S. Department of Justice			<u>176,509</u>
U.S. Department of Health and Human Services (DHHS):			
Pass-through California Department of Public Health			
Department of Health and Human Services Contracts/ Letters of Agreement	93.000	10-95130	58,711
Pass-through California Department of Rehabilitation			
Department of Health and Human Services Contracts/ Letters of Agreement	93.000	27937	11,484
Total DHHS			<u>70,195</u>
Administration for Children and Families (ACF):			
Direct Programs:			
Developmental Disabilities Project of National Significance	93.631		194,969
Pass-through California Department of Social Services			
Foster Care – Title IV-E	93.658	08-3036	* 21,554
Foster Care – Title IV-E	93.658	11-3020	* 2,101,743
Pass-through County of Riverside			
Foster Care – Title IV-E	93.658	AA-02462	* 3,815

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<u>Federal Grantor/Pass-Through Grantor Program or Cluster Title</u>	<u>Catalog of Federal Domestic Assistance Number</u>	<u>Pass-Through Entity Identifying Number</u>	<u>Federal Expenditures</u>
Pass-through Imperial County Department of Social Services Temporary Assistance for Needy Families TANF	93.558	AGREEMENT DATED 4/21/10	\$ 5,119
Temporary Assistance for Needy Families TANF	93.558	MO 24	22,611
Pass-through San Diego County Health and Human Services Agency Foster Care – Title IV-E	93.658	511851 AMND 08	* 23,419
Pass-through The Child and Family Policy Institute of California Promoting Safe and Stable Families	93.556	AGREEMENT DATED 3/11/10	6,405
Pass-through University of California at Berkeley Foster Care – Title IV-E	93.658	00007880	* 15,438
Foster Care – Title IV-E	93.658	7310	* (4,432)
Foster Care – Title IV-E	93.658	7811	* 1,944,121
Total ACF			<u>4,334,762</u>
Administration on Aging:			
Pass-through California Health and Human Services Agency Special Programs for the Aging Title IV Training Research	93.048	11-H9011, INDEX CODE 0100	94,561
Pass-through California State Independent Living Council Special Programs for the Aging Title IV Training Research	93.048	10-ILC-045 INDEX CODE 0100	3,450
Total Administration on Aging			<u>98,011</u>
Center for Disease Control (CDC):			
Pass-through Association of Schools of Public Health Centers for Disease Control and Prevention Investigations and Technical Assistance	93.283	S5018	(810)
Centers for Disease Control and Prevention Investigations and Technical Assistance	93.283	S5019	49,748
Pass-through California Department of Health Services Centers for Disease Control and Prevention Investigations and Technical Assistance	93.283	03-75430	(52)
Pass-through California Department of Public Health Public Health Emergency Preparedness	93.069	08-85629	518,814
Injury Prevention and Control Research and State and Community Based Programs	93.136	10-10458	7,567
Cooperative Agreements for State Based Comprehensive Breast and Cervical Cancer Early Detection Programs	93.919	08-85252 AO1	15,747
Cooperative Agreements for State Based Comprehensive Breast and Cervical Cancer Early Detection Programs	93.919	11-10604	490,474
Pass-through Family Health Centers of San Diego HIV Prevention Activities Non-Governmental Organization	93.939	FHCS/SDSUF	7,871
Pass-through San Diego State University ARRA - Prevention & Wellness-Communities Putting Prevention to Work FOA	93.724	40073571	* 1,086,462
Pass-through The San Diego Lesbian Gay Bisexual and Transgender Community Center Acquired Immunodeficiency Syndrome Activity	93.118	AGREEMENT DATED 5/11/11	10,500
HIV Prevention Activities Health Department Based	93.940	AGREEMENT DATED 5/11/11	25,595
Pass-through University of California at San Francisco Centers for Disease Control and Prevention Investigations and Technical Assistance	93.283	6586SC	12,381
Total CDC			<u>2,224,297</u>
Centers for Medicare and Medicaid Services (CMS):			
Direct Programs:			
Medicaid Infrastructure Grants To Support Competetive Employment of People With Disabilities	93.768		1,876,394
Pass-through San Diego County Health and Human Services Agency			

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<u>Federal Grantor/Pass-Through Grantor Program or Cluster Title</u>	<u>Catalog of Federal Domestic Assistance Number</u>	<u>Pass-Through Entity Identifying Number</u>	<u>Federal Expenditures</u>
Medical Assistance Program	93.778	CONTRACT 508024	\$ 732
Pass-through University of California Berkeley			
Medical Assistance Program	93.778	SA6140-77729	1,454
Medical Assistance Program	93.778	SA6140-77729 ADM1	378,207
Pass-through California Department of Health Services			
Health Care Financing Research Demonstrations and Evaluations	93.779	07-H9028	79,201
Total CMS			<u>2,335,988</u>
 Health Resources and Services Administration (HRSA):			
Direct Programs:			
Public Health Training Centers Grant Program	93.249		519,308
Advanced Education Nursing Traineeships	93.358		55,400
ARRA - Public Health Traineeship Program	93.405		41,714
Public Health Traineeships	93.964		31,622
			<u>648,044</u>
Pass-through California Department of Health Care Services			
Maternal and Child Health Services Block Grant to the States	93.994	09-86208	885
Maternal and Child Health Services Block Grant to the States	93.994	11-88132	29,708
Pass-through California Department of Health Services			
Maternal and Child Health Services Block Grant to the States	93.994	06-55019	34
Maternal and Child Health Services Block Grant to the States	93.994	11-10022	118,819
Pass-through Family Health Centers of San Diego			
Maternal and Child Health Federal Consolidated Programs	93.110	AGREEMENT DATED 1/20/10	8,526
Pass-through University of California at San Diego			
Centers of Excellence	93.157	PO 10313605-SUB	8,269
Health Careers Opportunity Program	93.822	PO 10291903	85,185
Health Careers Opportunity Program	93.822	PO 10321138-SUB	106,898
Total HRSA			<u>1,006,368</u>
 National Institutes of Health (NIH):			
Direct Programs:			
Minority Health and Health Disparities Research	93.307		241,604
ARRA - Trans-NIH Recovery Act Research Support	93.701		70,819
Biomedical Research and Research Training	93.859		193,371
			<u>505,794</u>
Pass-through California Department of Education			
ARRA - Trans-NIH Recovery Act Research Support	93.701	CN077029	1,043
Pass-through University of California at San Diego			
Alcohol Research Programs	93.273	10313754-001	50,275
Biomedical Research and Research Training	93.859	PO 10289805-006 & 007	139,637
Total NIH			<u>696,749</u>
 Indian Health Service			
Pass-through Indian Health Council			
Epidemiology Cooperative Agreements	93.231	CONTRACT DATED 10/7/11	65,177
Epidemiology Cooperative Agreements	93.231	CONTRACT DATED 11/18/10	19,673
Total Indian Health Service			<u>84,850</u>
 Office of Population Affairs			
Pass-through Family Health Centers of San Diego			
Family Planning Service Delivery Improvement Research	93.974	LOA/AMND 1/AMND 2/AMD 3	7,123
Pass-through San Diego Unified School District			
Adolescent Family Life Demonstration Projects	93.995	MOA DATED 5/21/07	35,463
Total Office of Population Affairs			<u>42,586</u>

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Federal Grantor/Pass-Through Grantor Program or Cluster Title	Catalog of Federal Domestic Assistance Number	Pass-Through Entity Identifying Number	Federal Expenditures
Office of the Secretary			
Pass-through California Department of Public Health National Bioterrorism Hospital Preparedness Program (B)	93.889	08-85549	\$ 78
Pass-through University of California at San Diego ARRA - Health Information Technology-Beacon Communities	93.727	10317649	204,271
Total Office of the Secretary			<u>204,349</u>
Total U.S. Department of Health and Human Services			<u>11,098,155</u>
National Aeronautics & Space Administration:			
Pass-through National Space Grant Foundation National Aeronautics and Space Administration Contracts/ Letters of Agreement	43.000	3908	1,955
Pass-through AERO Institute Education	43.008	AERO 537	22,499
Total National Aeronautics & Space Administration			<u>24,454</u>
National Endowment for the Arts:			
Direct Programs:			
Promotion of the Arts Grants to Organizations and Individuals	45.024		12,535
Total National Endowment for the Arts			<u>12,535</u>
National Endowment for the Humanities:			
Direct Programs:			
Promotion of the Humanities - Professional Development	45.163		149,434
Total National Endowment for the Humanities			<u>149,434</u>
National Science Foundation:			
Direct Programs:			
Mathematical and Physical Sciences	47.049		79,287
Education and Human Resources	47.076		439,447
ARRA - Trans-NSF Recovery Act Research Support	47.082		180,990
			699,724
Pass-through CSU Sacramento University Enterprises Inc. Education and Human Resources	47.076	HRD-0802628-518681	43,978
Pass-through University of Alabama Tuscaloosa Education and Human Resources	47.076	06-061 AMEND 04	6,432
Pass-through University of California at San Diego Education and Human Resources	47.076	PO 10312408	8,125
Total National Science Foundation			<u>758,259</u>
Department of Housing and Urban Development:			
Pass-through Regional Task Force on the Homeless Supportive Housing Program	14.235	AGREEMENT DATED 10/1/10	40,142
Supportive Housing Program	14.235	AGREEMENT DATED 10/28/11	50,717
Total U.S. Department of Housing and Urban Development			<u>90,859</u>
Department of Labor			
Direct Programs:			
ARRA - Program of Competitive Grants for Worker Training and Placement in High Growth and Emerging Industry Sectors	17.275		1,323,230
Total Department of Labor			<u>1,386,720</u>

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<u>Federal Grantor/Pass-Through Grantor Program or Cluster Title</u>	<u>Catalog of Federal Domestic Assistance Number</u>	<u>Pass-Through Entity Identifying Number</u>	<u>Federal Expenditures</u>
Department of Transportation			
Pass-through CSU Sacramento University Enterprises Inc. Highway Planning and Construction	20.205	516-28-1/43A0219 TO 4	\$ 16,250
Pass-through San Diego Association of Governments State Planning and Research	20.515	5001189	2,532
Total Department of Transportation			<u>18,782</u>
Small Business Administration			
Direct Programs:			
Small Business Administration Contracts/Letters of Agreement	59.000		583,470
Small Business Teaming Pilot Program	59.060		252,934
Total Small Business Administration			<u>836,404</u>
Department of Homeland Security:			
Pass-through City of San Diego Office of Homeland Security			
Urban Areas Security Initiative	97.008	AGREEMENT RR-301305	(24)
Homeland Security Grant Program (A)	97.067	RR-301305 EXTENSION	193,114
Pass-through San Diego State University			
Emergency Management Performance Grants	97.042	40074678	128,801
Total Department of Homeland Security			<u>321,891</u>
Agency for International Development			
Pass-through Council of State Governments-West			
Agency for International Development	98.000	11-LA-070-1767	2,317
Total Agency for International Development			<u>2,317</u>
Total Other Federal Awards			<u>\$ 37,813,521</u>
Total Expenditures of Federal Awards			<u>\$ 94,694,512</u>

* Denotes a major program

San Diego State University Research Foundation

Notes to Schedule of Expenditures of Federal Awards June 30, 2012

Note 1. Basis of Presentation

The accompanying Schedule of Expenditures of Federal Awards (the Schedule) includes the federal grant activity of San Diego State University Research Foundation (the Foundation) under programs of the federal government for the year ended June 30, 2012, and is presented on the accrual basis of accounting. The information in the Schedule is presented in accordance with the requirements of Office of Management and Budget (OMB) Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Because the schedule presents only a selected portion of the operations of the Foundation, it is not intended to, and does not, present the financial position, changes in net assets or cash flows of the Foundation.

Note 2. Summary of Significant Accounting Policies

Expenditures reported on the Schedule are reported on the accrual basis of accounting. Such expenditures are recognized following the cost principles obtained in OMB Circular A-122, *Cost Principles for Non-Profit Organizations*, wherein certain types of expenditures are not allowable or are limited as to reimbursement. Negative amounts shown on the Schedule represent adjustments or credits made in the normal course of business to amounts reported as expenditures in prior years. Pass-through entity identifying numbers are presented where available.

Note 3. Contingencies

As a result of inquiries from an outside funding source, the Foundation conducted an in-depth review of specific grant funds to ensure costs were appropriately charged. As a result of the internal review, the Foundation has accrued approximately \$365,000 and has paid approximately \$235,000 as of June 30, 2012. Management believes that the final outcome of these proceedings will not have a material adverse effect on the Foundation's Schedule of Expenditures of Federal Awards.

The Foundation is also conducting another in-depth review of specific grant funds to ensure costs were appropriately charged. As a result of this internal review, the Foundation has accrued approximately \$232,000 as of June 30, 2012. Management believes that the final outcome will not have a material adverse effect on the Foundation's Schedule of Expenditures of Federal Awards.

San Diego State University Research Foundation

**Notes to Schedule of Expenditures of Federal Awards
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Note 4. Subrecipients

Of the federal expenditures presented in the schedule, San Diego State University Research Foundation provided federal awards to subrecipients as follows:

Program Name	Federal CFDA Number	Amount Provided to Subrecipients
Department of Commerce Contracts/Letters of Agreement	11.000	\$ 10,358
Sea Grant Support	11.417	4,341
Department of Defense Contracts/Letters of Agreement	12.000	311,503
Basic and Applied Scientific Research	12.300	1,841,251
Wildlife Restoration	15.611	3,452
National Institute of Justice Research Evaluation and Development Project Grants	16.560	22,620
ARRA-Program of Competitive Grants for Worker Training and Placement in High Growth and Emerging Industry Sectors	17.275	591,368
Aerospace Education Services Program	43.001	14,790
Promotion of the Humanities Professional Development	45.163	9,312
Engineering Grants	47.041	4,094
Mathematical and Physical Sciences	47.049	73,970
Geosciences	47.050	23,300
Biological Sciences	47.074	208,298
Education and Human Resources	47.076	795,345
Polar Programs	47.078	69,583
Office of Cyberinfrastructure	47.080	21
ARRA-Trans-NSF Recovery Act Research Support	47.082	7,050
Small Business Administration Contracts/Letters of Agreement	59.000	94,227
Small Business Teaming Pilot Program	59.060	67,538
Congressionally Mandated Projects	66.202	132,140
National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship	84.015A	15,712
Fund for the Improvement of Postsecondary Education	84.116	19,384
Joint US/EU Consortia for Cooperation in Higher Education and Vocational Education	84.116J	14,848
Rehabilitation Short Term Training	84.246	59,309
Rehabilitation Training Continuing Education	84.264	82,977
Twenty First Century Community Learning Centers	84.287	115,255
Combined Priority for Personnel Preparation	84.325K	14,443
Gaining Early Awareness and Readiness for Undergraduate Programs GEAR UP	84.334A	24,806
Subtotal		4,631,298

San Diego State University Research Foundation

**Notes to Schedule of Expenditures of Federal Awards
June 30, 2012**

Note 4. Subrecipients (Continued)

Program Name	Federal CFDA Number	Amount Provided to Subrecipients
Subtotal carried forward		\$ 4,631,298
Department of Health and Human Services Contracts/Letters of Agreement	93.000	109,293
Public Health Emergency Preparedness	93.069	157,896
Centers for Research and Demonstration for Health	93.135	93,728
Research Related to Deafness and Communication Disorders	93.173	135,454
Mental Health Research Grants	93.242	280,742
Public Health Training Centers Grant Program	93.249	256,597
Alcohol Research Programs	93.273	737,079
Drug Abuse and Addiction Research Programs	93.279	3,215
Centers for Disease Control and Prevention	93.283	31,146
Minority Health and Health Disparities Research	93.307	449,740
Cancer Detection and Diagnosis Research	93.394	554,989
Cancer Treatment Research	93.395	78,840
Affordable Care Act (ACA) Childhood Obesity Research Demonstration	93.535	16,338
Health Promotion and Disease Prevention Research Centers:		
Affordable Care Act Projects	93.542	40,279
Foster Care Title IV/E	93.658	103,143
ARRA-Trans-NIH Recovery Act Research Support	93.701	994,549
Medicaid Infrastructure Grants To Support the Competitive Employment of People with Disabilities	93.768	610,605
Health Care Financing Research Demonstrations and Evaluations	93.779	24,586
Cardiovascular Diseases Research	93.837	994,107
Diabetes, Digestive, and Kidney Diseases Extramural Research	93.847	133,641
Biomedical Research and Research Training	93.859	112,667
Child Health and Human Development Extramural Research	93.865	411,759
Vision Research	93.867	33,847
		\$ 10,995,540



**Independent Auditor's Report on Internal Control Over
Financial Reporting and on Compliance and Other
Matters Based on an Audit of Financial Statements
Performed in Accordance With *Government Auditing Standards***

The Board of Directors
San Diego State University Research Foundation

We have audited the financial statements of San Diego State University Research Foundation, a Component Unit of San Diego State University (the Foundation), as of and for the year ended June 30, 2012, and have issued our report thereon dated September 19, 2012. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States.

Internal Control Over Financial Reporting

Management of the Foundation is responsible for establishing and maintaining effective internal control over financial reporting. In planning and performing our audit, we considered the Foundation's internal control over financial reporting as a basis for designing our auditing procedures for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the Foundation's internal control over financial reporting. Accordingly, we do not express an opinion on the effectiveness of the Foundation's internal control over financial reporting.

A *deficiency in internal control* exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A *material weakness* is a deficiency, or combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected, on a timely basis.

Our consideration of internal control over financial reporting was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over financial reporting that might be deficiencies, significant deficiencies or material weaknesses. We did not identify any deficiencies in internal control over financial reporting that we consider to be material weaknesses, as defined previously.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the Foundation's financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit and, accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

This report is intended solely for the information and use of the Audit Committee, Board of Directors, management, federal awarding agencies and pass-through entities, and is not intended to be, and should not be, used by anyone other than those specified parties.

McGladrey LLP

San Diego, CA
September 19, 2012



**Independent Auditor's Report on Compliance With
Requirements That Could Have a Direct and
Material Effect on Each Major Program and
Internal Control Over Compliance in
Accordance With OMB Circular A-133**

The Board of Directors
San Diego State University Research Foundation

Compliance

We have audited the compliance of San Diego State University Research Foundation, a Component Unit of San Diego State University (the Foundation) with the types of compliance requirements described in the U.S. Office of Management and Budget (OMB) Circular A-133, *Compliance Supplement*, that could have a direct and material effect on each of the Foundation's major federal programs for the year ended June 30, 2012. The Foundation's major federal programs are identified in the summary of auditor's results section of the accompanying *Schedule of Findings and Questioned Costs*. Compliance with the requirements of laws, regulations, contracts and grants applicable to each of its major federal programs is the responsibility of the Foundation's management. Our responsibility is to express an opinion on the Foundation's compliance based on our audit.

We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the Foundation's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances. We believe that our audit provides a reasonable basis for our opinion. Our audit does not provide a legal determination on the Foundation's compliance with those requirements.

In our opinion, the Foundation complied, in all material respects, with the requirements referred to above that could have a direct and material effect on each of its major federal programs for the year ended June 30, 2012.

Internal Control Over Compliance

Management of the Foundation is responsible for establishing and maintaining effective internal control over compliance with the requirements of laws, regulations, contracts and grants applicable to federal programs. In planning and performing our audit, we considered the Foundation's internal control over compliance with the requirements that could have a direct and material effect on a major federal program to determine the auditing procedures for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with OMB circular A-133, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the Foundation's internal control over compliance.

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. *A material weakness in internal control over compliance* is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be deficiencies, significant deficiencies or material weaknesses. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses, as defined above.

Schedule of Expenditures of Federal Awards

We have audited the financial statements of the Foundation as of and for the year ended June 30, 2012 and have issued our report thereon dated September 19, 2012 which contained an unqualified opinion on those financial statements. Our audit was conducted for the purpose of forming an opinion on the financial statements as a whole. We have not performed any procedures with respect to the audited financial statements subsequent to September 19, 2012. The accompanying schedule of expenditures of federal awards is presented for purposes of additional analysis as required by OMB Circular A-133 and is not a required part of the basic financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the schedule of expenditures of federal awards is fairly stated in all material respects in relation to the financial statements as a whole.

This report is intended solely for the information and use of the Audit Committee, Board of Directors, management, federal awarding agencies and pass-through entities, and is not intended to be, and should not be, used by anyone other than those specified parties.

McGladrey LLP

San Diego, CA
September 19, 2012

San Diego State University Research Foundation

**Schedule of Findings and Questioned Costs
Year Ended June 30, 2012**

II. Financial Statement Findings

A. Significant Deficiencies

None reported.

B. Compliance Findings

None reported.

III. Federal Award Findings and Questioned Costs

A. Significant Deficiencies

None reported.

B. Compliance Findings

None reported.

San Diego State University Research Foundation

**Summary Schedule of Prior Audit Findings
Year Ended June 30, 2012**

There were no audit findings reported for the year ended June 30, 2011.